

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

K2 GLOBAL PARTNERS LLC,
K2 INTELLIGENCE, INC., and
K2 INTELLIGENCE, LLC,

Plaintiff,

+

-v-

K2 ADVISORS, L.L.C. and
K2/D&S MANAGEMENT CO., L.L.C.

Case No. 13cv-5354PAE/KNF

Defendant.

Rule 7.1 Statement

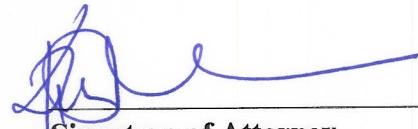
Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for
K2/D&S Management Co., L.L.C. (a private non-governmental party)

certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

K2/Highland Management Co., L.L.C.
K2 Advisors Holdings, L.L.C.
Franklin Templeton Institutional, L.L.C.
Franklin Resources, Inc.

Date:

Sept 3, 2013



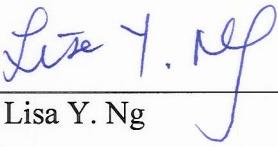
Signature of Attorney

Attorney Bar Code:

KM 5593

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on this 3rd day of September, 2013, the foregoing Rule 7.1 Statement of K2/D&S MANAGEMENT CO., L.L.C. was filed via electronic filing with the Clerk of the Court using the CM/ECF system and that a copy hereof will be electronically served by the electronic filing system directly upon all counsel of record


Lisa Y. Ng